In the United States Batent and Trademark Office

Serial No.: 10/775,868 Docket No.: 17209-311CP1
Filed: February 10, 2004 Conf. No.: 1175 Art Unit: 3684
Applicant(s): David Lawrence Examiner: Vizvarv, Gerald C.

For: Insider Trading Risk Management

Mailstop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

AMENDMENT/RESPONSE ACCOMPANYING RCE, 37 CFR §1.114

Sir:

Further to the Final Office Action dated April 8, 2010 (hereinafter "Office Action"), in view of the concurrently filed request for Request Continued Examination ("RCE"), and in view of the following amendments and/or remarks, David Lawrence and/or Attorney(s) for applicant(s) (hereinafter "Applicant") request entry thereof and reconsideration of the above-referenced application.

Amendments to the Claims are reflected in the listing of claims begin on page 2.

Remarks begin on page 7 of this paper.

 ${\bf 35}$ USC § ${\bf 112}$ remarks begin on page 7 of this paper.

35 USC § 103 remarks begin on page 8 of this paper.